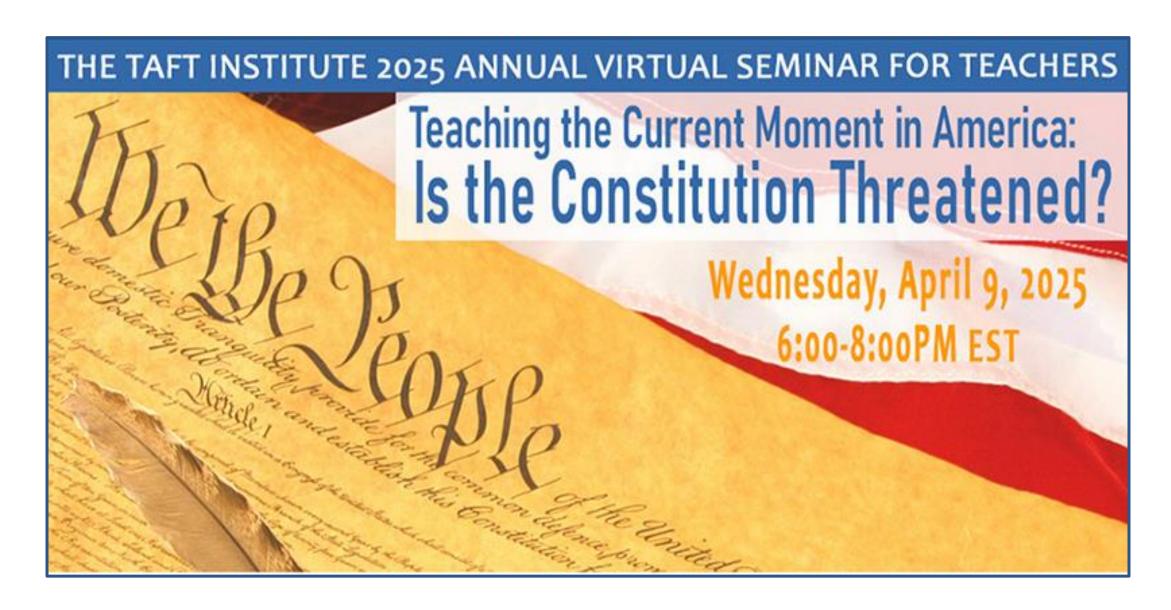


EXPANDING AND IMPROVING POLITICAL PARTICIPATION IN THE U.S. SINCE 1961



With Professor Emeritus Michael Alan Krasner

### Overview

- What is a constitutional crisis?
- Constitutional Principles
  - ➤ Checks and Balances
  - ➤ Democracy, aka, Popular Sovereignty
  - ➤ Protection of the Individual—Civil Liberties and Civil Rights
  - ➤ Rule of Law
- Case Studies
  - Birthright citizenship
  - ➤ Mass Deportation
  - > Free speech



### **Constitutional Crisis**

- Threat to the existing system
- Big change in power relations
  - ➤ One branch gains a lot of power
  - ➤ Others lose power
  - Checks and Balances weakened
- Big change in rules under which the government operates
  - > Rule of law weakened or lost entirely
  - ➤ Arbitrary, personal power replaces power based on law



# The One Point of Agreement

- Nearly every expert agrees that if the President defies a court order, this is a Constitutional Crisis:
  - ➤ Threatens the Constitution's distribution of power (Checks and Balances)
  - > Threatens the rule of law



### Beyond That...

- Disagreement
- Wide range of opinion about what is going on now
- Many see it simply as the assertion of Presidential power, but not a crisis.
- At conclusion, another view



### Checks and Balances

- "Separation of Powers?"
- Better to say: "Separate Institutions that Share Power" (Richard Neustadt)
- Why?



### How Checks and Balances Work

- Totally separated powers can't check and balance
- Have to be shared
  - ➤ For example: Law-Making
- If the Congress could do it all on its own, no check or balance
- ◆ But if it's shared Congress, the President, and the Courts then you have checks and balances as follows...



### Checks and Balances: Law Making

- Congress can pass a law (majority vote in both Houses).
- President can veto—refuse to sign and return the bill with reasons.
- Congress can override (two-thirds in both Houses).
- Supreme Court can declare a law passed by the Congress and signed by the President unconstitutional.
- Why do we have checks and balances?



### Framers' Concerns

- As British colonists, they objected to rights violated by the King:
  - See him as a tyrant
  - > Fear another one in the new world
- They also feared the majority:
  - > Feared the mass of the people would violate the rights of minorities
  - ➤ In practice the many who are poor take property and liberty from the few who are rich



#### Result: Fear of Concentrated Power

- How to prevent it?
- Can't change human nature.
- People are ambitious and selfish.
- Therefore, Divide Power.
- Turn ambition against ambition:
  - ➤ The ambition of a Senator or a Congressperson checks the ambition of the President.



### Personal/Institutional Motives

- Why would Senators agree to have their power reduced?
- But also the system sets them up to have interests that conflict with others



#### Interests and Constituencies

#### Senators

- ➤ Six-year terms
- One-third elected every two years
- > Elected from states

#### House of Representatives

- > Two-year terms
- > All at once from districts

#### President

- Four-year term
- National election, though indirect (Electoral College)
- Differences in constituencies and terms produce differences in interests and outlooks



### Problem?

- Checks the good as well as the bad.
- Hard to get anything done.
- Can be hard for the majority to make the government respond.
- Hard to be small "d" democratic?
- How to overcome?



### Big Electoral Majorities – Trifectas

- When the same party controls both Houses of Congress and the Presidency
- Democratic Party in the 1930s
- Response to the Great Depression
- FDR in the White House
- Big Democratic majorities in the Congress
- New Deal program passes
  - Direct aid for individuals
  - Greatly increased regulation of corporations
  - Greatly increased support for labor unions



### Result: Creation of the Middle Class

- Big gains for working people
- Income and wealth gaps narrow
- Standards of living go up
- Changes the political economy



### **Current Administration**

- Critics say it's different
- No huge electoral victories
- Trump gets less than 50% of the popular vote
- Only about 225,00 vote margin in the battleground states
- Very narrow majorities in the House and Senate



### Why Do Congressional Republicans Acquiesce?

- Trump and Musk laying waste to agencies Congress created and funded.
- Why isn't the Congress defending its institutional power?
- Political fear: big money, MAGA voters
- Physical fear: threats, attacks



### **Political Fear**

- A strong primary opponent
- Big money
  - Funded by Musk (\$220-\$300 billion)
- Trump's MAGA following
  - Opponent supported by MAGA loyalists in the primaries
- Not all Republican voters (60-70%), but...
- The vast majority of Republicans voters in the primaries.



### Physical Fear

- Trump brings violence into the political system
- People named by Trump as "enemies"
- Get threatened physically
  - Their families as well
- Reporters like Megan Kelly resort to 24/7 security
  - ➤ Not everybody can afford that
- Why would you put yourself and your family at risk?



# No Check or Balance from the Congress

- Institutional/Personal Motivations are forgotten
- Ambition is not checking ambition
- Only Trump (and Musk's) ambition is working
- But what about the Supreme Court?



# Trump's Power and Supreme Court

- Thanks to:
  - ➤ Stonewalling Obama's attempt to appoint Merrick Garland
  - ▶ The Supreme Court giving the 2000 election to George W. Bush
  - ➤ The hasty confirmation of Amy Comey Barrett
- The Supreme Court now has a six-person majority...
- That gave Trump extraordinary immunity/power.



## Supreme Court: Minority Rule

- This six-person majority represents a minority:
  - Five of the justices appointed by presidents who lost the popular vote, Bush 2 and Trump.
  - ➤ And approved by a majority of Senators who represent a minority of the people Senators from low population states.



### Supreme Court: Extremist Decisions

- And made extremist decisions even before Trump
- Citizens United Corporations are people with first amendment rights
  - ➤ Can give unlimited amounts of money in political campaigns.
- Shelby v. Holder guts Voting Rights Act of 1965
  - > Allows voter suppression especially of minorities
- Chevron decision guts EPA's ability to regulate
  - ➤ Threatens the Administrative state generally
- Dobbs overturns Roe v. Wade
  - Allows abortion to be outlawed



# Supreme Court: Check And Balance Trump?

- Maybe on the most extreme actions
- Maybe won't overturn birthright citizenship
- Very plain in the Constitution 14<sup>th</sup> Amendment
- Precedents and discussion very one-sided in favor of birthright citizenship
- But can't rule out entirely with this Court the possibility of siding with the president



## The Bigger Issues

- More likely, oppose president on this (THIS WHAT?)
- To look moderate when conceding on nearly everything else
- Presidential power over regulatory agencies
- Presidential power to dismantle agencies created and funded by the Congress
- Presidential power to deport asylum seekers and people on student visas and green card holders
- Presidential power to threaten and coerce universities, law firms, and other big institutions in civil society



### No Serious Check from the Court

No check that really matters



## The Next Three Principles

- The Rule of Law, Popular Sovereignty, Individual Rights
- These go together
- Each reinforces and enables the other two
- Take them one at a time, but keep this in mind



# Democracy, Popular Sovereignty, Of...By...For

- Noted that Checks and Balances can thwart the people
- Also, true that amendments generally increase the people's power
- And extend the right to vote
  - > Blacks
  - ➤ Women
  - ► Those over 18



### How the Constitution Has Evolved

- The direction is toward the ideal expressed by Lincoln in the Gettysburg Address:
  - ➤ Government of the people, by the people, for the people
- A responsive government
- Responsive to the majority
- Small "d" democratic



### Connects to Civil Liberties

- But to have that needs the First Amendment Rights
  - > Speech
  - Association
  - ➤ Assembly
  - > Press



## Speech

- People need to be able to say what they think
- To criticize the government
- TO HEAR the speech and criticisms of others
- So, THEY can figure out what they think and what they want.



### The Press

- When it's doing its job, the press (media) is vital to the process of FREE SPEECH
- Supposed to be a watchdog
- Alerting the people to abuses
- Helping to hold the government accountable
- Informing on current issues
- Basis for reasoned opinion



#### **Protest**

- "The right of the people peaceably to assemble and petition for a redress of grievances" - First Amendment
- To see and be seen in public
- To impress the government and other citizens with the strength of their feelings and desires
  - ➤ April 5<sup>th</sup>, 2025



#### Rule of Law

- The law is supposed to apply equally to all!
  - > The government is not supposed to act outside the law
  - In particular, the government is not supposed to act against critics unless they have broken the law.
- The government is supposed to act openly ACCOUNTABILITY!
  - Those working for the government are supposed to identify themselves and their agencies and the legal authority under which they are acting.



### Arrests of Khalil, Ozturk, and others

- Though not citizens, they are protected by the First Amendment
- The rationale for acting against them is thin, at best
- See the article by Charlie Savage
- The charges of antisemitism are unproven, apparently untrue
- Even if true, problematic in terms of First Amendment
- Hateful speech is protected
- Though there is a history of abuse, especially in time of war



#### The Manner of the Arrests – The Rule of Law

- Done by plainclothes officers
- In Unmarked cars
- Who refuse to give their names
- And refuse to say what agency they are from
- And refuse to say why the person is being arrested
- And done with no warning
- No notification that a student visa has been revoked
- Prevents accountability
- As well as violating simple humanity



## The Dangers

- If people cannot express themselves freely
- If people cannot get the crucial facts from the media
- If people can be punished for dissenting from official policy
- If protest can be suppressed because it conflicts with the desires of high officials
- If the government's actions are done in a way that obscures accountability
- Then democracy and the rule of law have been seriously damaged, if not entirely destroyed.



## The Rule of Law – Mass Deportations

- Similar points apply here
- What is the government's legal justification for its actions?
- The law invoked had previously been applied in times of war
- The judge ordered the process reversed
- Planes in flight supposed to turn around
- Plane on the ground supposed to stay there
- The opposite happens
- Did the Executive Branch defy a court order?



# The Legal Rationale

- Alien Enemies Act
- 18<sup>th</sup> century
- Considered previously to apply in times of war



#### How the Government Acted

- Rounding up people based on their tattoos
- Supposed link to Venezuelan gang
- Denying asylum seekers hearings
- Apparently defying a court order to halt flights or turn them around
- Deporting at least one person who had protective status and stonewalling the effort to bring him back (Abrego Garcia)



### Overview

- How to think about the Trump Administration overall:
  - ➤ Masha Gessen, citing Balint Magyar, Surviving Autocracy
  - → "Mafia State"
  - ➤ Jonathan Rauch (*The Atlantic*) citing Stephen Hanson and Jeffrey Epstein, *The Assault on the State.*
  - > "Patrimonialism"



#### Personal Rule

- Both ideas emphasize the personal power of the leader
- Who dispenses money, power, and other favors
- As he pleases enhance his power and his fortune
- Doing away with agencies that have their own agenda and expertise
- Using state power to intimidate critics; spread fear
- Attacking potential opponents in civil society



# Examples

- Attacks on the media
- Attacks on universities
- Attacks on law firms
- Those already noted
  - Punishing student critics
  - ➤ Mass deportations



#### Tariffs??

- Large, indiscriminate tariffs
- Widely criticized by experts
- "Doesn't understand the economy"
- "Doesn't understand international trade"
- "No rhyme or reason"



## Missing the Point?

- Tariffs as personal weapon
- Not as policy
- Not to reform the economy
- But to gain a power advantage...
- To force corporations or foreign governments
- To make concessions
- To come to the leader in a weakened position



#### If True....

- If this interpretation is accurate,
- If Trump succeeds,
- If the mass deportations continue,
- If the student arrests and deportations or detainments are upheld
- If big institutions in civil society (media, universities, law firms, corporations) continue to make big concessions,
- If the destruction of agencies, impoundment of funds, and many other increases of presidential power continue unchecked...
- Then the balance of power will have shifted substantially to the President, eroding constitutional principles.



#### Remember: Erosion of Democratic Norms

- Those who attended last year should, unfortunately, recognize these trends (as Michelle Sorise pointed out)
- Examples of what Ziblatt and Levitsky call "Indicators of Authoritarian Behavior"
  - ➤ Call to Violence
  - >Threats against rivals in civil society
  - > Restriction of civil liberties, and many more



## Civil Liberties, Rule of Law, Democracy

- All of the principles with which we began...
  - ➤ Checks and Balances
  - **≻**Civil Liberties
  - ➤ Rule of Law
  - ➤ Democracy (small "d")
- ...will have been substantially weakened or destroyed.

# So, YES...we are smack dab in the middle of a constitutional crisis!



## Creating Lessons: Are We In a Constitutional Crisis?

- We will split out into breakout rooms so participants can work in groups. The year groups are randomized.
- Together, please discuss your ideas for a draft lesson which you could potentially teach to your current population of students.
  - > Someone in the group should volunteer to write all ideas into a google document (docs or slides) which can be shared (think of this role as a minute taker).
  - > Someone should volunteer as the Presenter.
- ◆ The lesson should be based on the seminar conducted by Dr. Krasner, with an attempt to incorporate literature from the readings provided to you.



# Directions for Teacher Group Activity

- Discuss the most important aspects of the seminar and what information you believe is imperative for middle or high school students to learn. Center your lesson on the essential question: are we experiencing a constitutional crisis?
- Create an introduction to your lesson. What would be a good way to entice students to provide their prior knowledge on the topics we have discussed during the seminar?
- Design a main lesson whereby students will work in groups to discuss, debate, analyze and evaluate information from sources related to the first 4 months of the Trump administration. How will the students provide their evaluation? Explain what materials you are likely to use during the lesson.
- Be prepared to discuss your ideas for a lesson with the seminar participants. Choose a spokesman if necessary.







# BREAKOUT ROOMS

Develop a Brief Lesson Plan
Present to Attendees After Reconvening

## Next Steps for Stipend

- Qualifying for 1<sup>st</sup> Stipend:
  - ➤ Participate actively in today's session, including plan in Breakout Room
  - ➤ Provide mailing address in response to follow-up email sent by Dr. Krasner
- Qualifying for 2<sup>nd</sup> Stipend:
  - Write the lesson in more detail
  - ➤ Teach it to a class before May 28<sup>th</sup> (if this timing doesn't work, we can make other arrangements)
  - ➤ Submit a written evaluation (can be brief, 1-2 pages)
    - In writing (to makrasner@gmail and dmclean5@schools.nyc.gov)
    - And attend a seminar on Zoom on May 28<sup>th</sup>, 6-8 pm to discuss with others



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